18 NCAC 06A .2041 LIMITATIONS ON GENERAL SOLICITATION AND ADVERTISING

An issuer relying on the Exemption and persons acting on behalf of the issuer may not engage in general solicitation or advertising, except as permitted by Rules .2043 and .2044 of this Section.

History Note: Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103,

s.4.(a);

Eff. April 1, 2017.